2.05/Z Docket No. CISCO-1372 #8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APELICANT:

SHIVELY, et al.

BADESERIAL NO.:

APR 0 2 2001

09/410,511

FILING DATE:

September 30, 1999

TITLE:

AUTOMATIC HARDWARE FAILURE DETECTION AND

RECOVERY FOR DISTRIBUTED MAX SESSIONS SERVER

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient

with the United States Postal Service with sufficier postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents

Assistant Commissioner for Patents Washington, D.C. 20231

on 3/29/01

Sharon E. Byam

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

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This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$240), or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. § 1.17 (i) (1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$130), a certification according to 37 C.F.R. § 1.97(e), and a petition requesting consideration of the information disclosure statement.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

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- (X) No fee is due
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$240).
- () The petition fee specified in 37 C.F.R. § 1.17(i) (1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$130.00).

Please charge any additional required fee or credit any overpayment to our deposit account number 04-0025. An additional copy of this page is enclosed.

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Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,

THELEN REID & PRIEST LLP

Dated: 3/28, 2001

John P. Schaub

Reg. No. 42,125

Thelen Reid & Priest LLP P.O. Box 640640 San Jose, CA 95164-0640 (408) 292-5800

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Form P'	TO 146	U.S. Department Patent and Tradem				Atty. Docket No. CISCO-1372		Serial No. 09/410,511		
Information Disclosure Statement by Applicant					Applicant: Shively et al.					
(Use several sheets if necessary)					Filed: September 30, 1999 Group: Unassigned					
				U.S. Patent Docum	onte					
Init.		Document No.	Date	Name	ients	Class	Subclass	Filing	Date	
	A	5,591,828	11/23/99	Horie et al.		710	8	10/7/9	7	
	В	6,009,103	12/28/99	Woundy		370	401	12/23	/97	
	c	6,026,441	02/15/00	Ronen		709	227	12/16	/97	
_	D	6,091,951	07/18/00	Sturniolo et al.		455	432	09/03/97		
	E	6,119,160	09/12/00	Zhang et al.		709	224	10/13	/98	
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	1	Carrel, D. et al. "The TACACS+ Protocol, Version 1.78, Cisco Systems, Inc., printed from ftp://ftp-eng.cisco.com/gdweber/tac-rfc.1.78.txt on October 23, 2000								
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Examin	er					Date Cons	sidered			

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.